



In re the Application of:

Group Art Unit: 1643

Examiner: Not Assigned

**For: CELLULAR VACCINES AND
IMMUNOTHERAPEUTICS AND
METHODS FOR THEIR PREPARATION**

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

I. DOCUMENTS ENCLOSED:

☒ Declaration

☒ Power of Attorney.

☐ Assignment of the invention to _____ (together with PTO Form 1595)

☒ A Verified Statement to establish "Small Entity Status" under 37 CFR §§ 1.9 and 1.27.

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Jeanette M. Olivera
Jeanette M. Olivera

☒ A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f).

II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

☒ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input checked="" type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$190.00	\$380.00
<input type="checkbox"/> three months	\$435.00	\$870.00
<input type="checkbox"/> four months	\$680.00	\$1,360.00
<input type="checkbox"/> five months	\$925.00	\$1,850.00
Fee		\$55.00

☒ If any extension fee is required, please consider this a petition therefor.

III. FILING FEES

BASIC FILING FEE:							\$760.00
Total Claims	22	-	20	=	2	x \$18.00	\$36.00
Independent Claims	10	-	3	=	7	x \$78.00	\$546.00
Multiple Dependent Claims	\$260	(if applicable)				<input type="checkbox"/>	\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)				<input checked="" type="checkbox"/>	\$130.00
TOTAL OF ABOVE CALCULATIONS							\$1,472.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.							<input checked="" type="checkbox"/> \$736.00
Extension of Time (from above)							\$55.00
Assignment -- \$40 (if applicable)							<input type="checkbox"/> \$0.00
TOTAL FEES SUBMITTED HEREWITH							\$791.00

IV. METHOD OF PAYMENT OF FEES:

☒ A check in the amount of \$791.00 is enclosed to cover the above fee(s).

☐ Charge Deposit Account No. **12-2475** in the amount of _____.

☒ The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. 12-2475 for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. 12-2475.

Respectfully submitted,

LYON & LYON LLP

Dated: April 7, 1999

By: 

Jeffrey W. Guise
Reg. No. 34,613

633 West Fifth Street, Suite 4700
Los Angeles, California 90071-2066
(213) 489-1600



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APR 12 1999

PATENT & TRADEMARK OFFICE

FILE MISSING PART

1643

01/14/99

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

☒ 1. The statutory basic filing fee is:

- Applicant must submit \$ 16.00 to complete the basic filing fee and/or file a small entity statement claiming

- \$ 546 for 7 independent claims over 3.

\$ 36 for 2 dependent claims over 20.

\$_____ for multiple dependent claim surcharge.

- ☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1. 63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your billing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

4/14/1999	ATEKLEH	00000120	0921606
1	FC:201		385.00 0P
2	FC:205		66.00 0P
3	FC:202		273.00 0P
4	FC:203		18.00 0P